



021904

13281 U.S. PTO

S&amp;H Form: PTO/SB/05 (2/02)

**CONTINUING  
(1.53(b)) UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for continuing applications under 37 CFR 1.53(b))

Attorney Docket No.

1317.1014CIPDD

First Named Inventor:

Jung-Kwon HEO

Title:

DVD-AUDIO DISK, AND APPARATUS AND METHOD  
FOR PLAYING THE SAME

Express Mail Label No.

**APPLICATION  
ELEMENTS**See MPEP chapter 600  
concerning utility  
patent application contents.

ADDRESS TO:

Commissioner for Patents

Box Patent Application

PO Box 1450

Alexandria, VA 22313-1450

1. ☒ Fee Transmittal Form
2. ☒ Specification, Claims & Abstract ..... [Total Pages: 83]
3. ☒ Drawing(s) (35 USC 113) ..... [Total Sheets: 44] [FIGS. 1-7, 8A, 8B, 9A, 9B, 9C, 9D, 10 - 16, 17A, 17B, 18A, 18B, 18C, 19, 20, 21A, 21B, 21C, 21D, 22, 23, 24A, 24B, 24C, 25 - 32]
4. ☒ Oath or Declaration ..... [Total Pages: 1]
- a. ☐ Newly executed (original or copy)
- b. ☒ Copy from a prior application (37 CFR 1.63(d))
5. ☐ This application is filed by fewer than all the inventors named in the prior nonprovisional application.
- a. ☐ DELETE the following inventor(s) named in the prior nonprovisional application:
- b. ☐ The inventor(s) to be deleted are set forth on a separate sheet attached hereto.
6. ☐ Verified Statement Claiming Small Entity Status
7. ☒ Incorporation by Reference (usable if Box 4b is checked)  
The entire disclosure of the prior application (identified in Item 21), from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
8. ☒ Applicant claims foreign priority benefit to: Republic of Korea Application No. 10330/1997 filed 25 March 1997  
Republic of Korea Application No. 51861/1997 filed 9 October 1997
9. ☐ Application Data Sheet. See 37 C.F.R. 1.76
10. ☐ CD-Rom or CD-R in duplicate, large table or Computer Program (Appendix)
11. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
- a. ☐ Computer Readable Form (CRF)
- b. ☐ Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies); or
- ii. ☐ paper
- c. ☐ Statement verifying identity of above copies
12. ☒ Assignment to Samsung Electronics Co., Ltd. of Suwon-Si, Republic of Korea at Reel 9364, Frame 0898  
☒ for publication of assignee information under 37 CFR 1.215(b)

**ACCOMPANYING APPLICATION PARTS**

13. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
14. ☐ English Translation Document (if applicable)
15. ☒ Foreign priority benefit under 35 U.S.C. §119 is claimed.
- a. ☒ Certified Copy of Priority Document(s) filed in prior application No. 09/047,363.
- b. ☐ Certified Copy of Priority Document(s) enclosed.
- c. ☐ Certified Copy of Priority Document(s) to follow.
16. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
17. ☒ Preliminary Amendment
- a. ☒ enclosed herewith.
- b. ☐ incorporated herein (see Box 21).
18. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
19. ☐ Request and Certification for Nonpublication under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent
20. ☐ Other:

17497 U.S. PTO  
10/780547

021904

13281 U.S. PTO

S&amp;H Form (10/03)

<b>NEW APPLICATION FEE TRANSMITTAL</b>		Attorney Docket No.	1317.1014CIPDD
		Application Number	
		Filing Date	FEBRUARY 19, 2004
AMOUNT ENCLOSED	\$ 770.00	First Named Inventor	Jung-kwon HEO

**FEE CALCULATION** (fees effective 10/01/01)

CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
	TOTAL CLAIMS	4 - 20 =	0	X \$ 18.00 =	\$ 0.00
	INDEPENDENT CLAIMS	2 - 3 =	0	X \$ 86.00 =	0.00
	MULTIPLE DEPENDENT CLAIMS (any number; if applicable)			+ \$290.00 =	
	BASIC FILING FEE				770.00
	Total of above Calculations =				\$ 770.00
	Surcharge for late filing fee, Oath or Declaration (37 CFR 1.53(f)) (\$130.00)				
	Reduction by 50% for filing by small entity (37 CFR 1.27).				
	TOTAL FILING FEE =				\$ 770.00
	Surcharge for filing non-English language application (\$130.00; 37 CFR 1.52(d))				
	Recordation of Assignment (\$40.00; 37 CFR 1.21(h))				
	TOTAL FEES DUE =				\$ 770.00

**METHOD OF PAYMENT**

- ☒ Check enclosed as payment.
- ☐ Charge "TOTAL FEES DUE" to the Deposit Account No. below.
- ☐ No payment is enclosed and no charges to the Deposit Account are authorized at this time (unless specifically required to obtain a filing date).

**GENERAL AUTHORIZATION**

- ☒ If the above-noted "AMOUNT ENCLOSED" is not correct, the Commissioner is hereby authorized to credit any overpayment or charge any additional fees necessary to:

Deposit Account No.

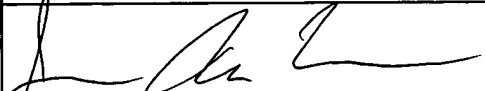
19-3935

Deposit Account Name

STAAS &amp; HALSEY LLP

- ☒ The Commissioner is also authorized to credit any overpayments or charge any additional fees required under 37 CFR 1.16 (filing fees) or 37 CFR 1.17 (processing fees) during the prosecution of this application, including any related application(s) claiming benefit hereof pursuant to 35 USC 120 (e.g., continuations/divisionals/CIPs under 37 CFR 1.53(b) and/or continuations/divisionals/CPAs under 37 CFR 1.53(d)) to maintain pendency hereof or of any such related application.

SUBMITTED BY: STAAS &amp; HALSEY LLP

Typed Name	James G. McEwen	Reg. No.	41,983
Signature		Date	February 19, 2004

**21. CONTINUING APPLICATION**, check appropriate box and supply the requisite information below:

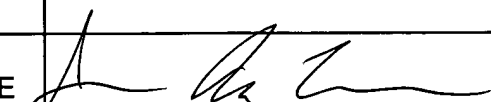
☐ Continuation ☒ Divisional ☐ Continuation-in-part (CIP) of prior application No: 09/526,285

Prior application information: Examiner: Huy Thanh Nguyen  
Group/Art Unit: 2754

**22. NEW CORRESPONDENCE ADDRESS \* CUSTOMER NO. 21,171****21171**

PATENT TRADEMARK OFFICE

**23. SIGNATURE OF ATTORNEY OR AGENT**

NAME	James G. McEwen	REGISTRATION NO.	41,983
SIGNATURE		DATE	February 19, 2004

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re the Application of:

Jung-Kwon HEO

Serial No. NOT ASSIGNED

Group Art Unit: 2615

DIVISIONAL OF SERIAL NO. 09/526,285

Filed: February 19, 2004

Examiner: Huy Thanh Nguyen

For: DVD-AUDIO DISK, AND APPARATUS AND METHOD FOR PLAYING THE SAME

**LETTER TO THE EXAMINER REQUESTING  
APPROVAL OF DRAWING CHANGES**

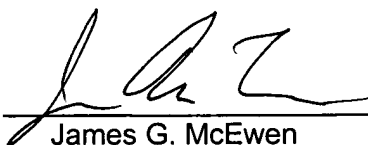
Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

Sir:

It is respectfully requested that the attached copies of FIG. 26 be entered as part of the subject application. In the enclosed FIG. 26, a typographical error has been corrected by replacing the term "FREAM" with "FRAME."

Respectfully submitted,

STAAS & HALSEY LLP

By:   
James G. McEwen  
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Date: FEB 19, 2004